

A New Perspective on Binaural Integration Using Response Time Methodology: Super Capacity Revealed in Conditions of Binaural Masking Release

Jennifer Lentz, Yuan He and James T Townsend

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6 Jennifer J Lentz
7 Dept. of Speech and Hearing Sciences
8 Indiana University

9
10 Yuan He
11 Dept. of Speech and Hearing Sciences
12 Indiana University

13
14 James T Townsend
15 Department of Psychological and Brain Sciences
16 Indiana University

17
18 Corresponding author:
19 Jennifer Lentz
20 200 S. Jordan Ave.
21 Bloomington, IN 47405
22 jjlentz@indiana.edu

23
24 Abstract

25
26 This study applied reaction-time based methods to assess the workload capacity of binaural
27 integration by comparing reaction time distributions for monaural and binaural tone-in-noise
28 detection tasks. In the diotic contexts, an identical tone + noise stimulus was presented to each
29 ear. In the dichotic contexts, an identical noise was presented to each ear, but the tone was
30 presented to one of the ears 180° out of phase with respect to the other ear. Accuracy-based
31 measurements have demonstrated a much lower signal detection threshold for the dichotic versus
32 the diotic conditions, but accuracy-based techniques do not allow for assessment of system
33 dynamics or resource allocation across time. Further, reaction times allow comparisons between
34 these conditions at the same signal-to-noise ratio. Here, we apply a reaction-time based capacity
35 coefficient, which provides an index of workload efficiency and quantifies the resource
36 allocations for single ear versus two ear presentations. We demonstrate that the release from
37 masking generated by the addition of an identical stimulus to one ear is limited-to-unlimited
38 capacity (efficiency typically less than 1), consistent with less gain than would be expected by
39 probability summation. However, the dichotic presentation leads to a significant increase in
40 workload capacity (increased efficiency) – most specifically at lower signal-to-noise ratios. These
41 experimental results provide further evidence that configural processing plays a critical role in
42 binaural masking release, and that these mechanisms may operate more strongly when the signal
43 stimulus is difficult to detect, albeit still with nearly 100% accuracy.

44

1 Introduction

2

3 An integral question in psychoacoustics is that of binaural integration: how information presented
4 to the two ears is combined in order to form a unified percept. In natural environments, the
5 sounds received by the two ears are typically different from one another, but experiments using
6 headphones allow identical stimuli to be presented to both ears. It is well known that identical
7 auditory stimuli presented to each ear are perceived as a single sound (e.g., Leakey et al., 1958),
8 but there are also many instances in which unified percepts are elicited when different signals are
9 presented to the two ears (e.g., if a sound source is presented to one side of a listener). In his
10 seminal work on the “cocktail party effect,” Cherry (1953) demonstrated that the auditory system
11 generates fused percepts of auditory sources in sophisticated listening situations. Although
12 multiple cues are used by the auditory system to accomplish this goal, the binaural system is a
13 critical component of this process [see Bregman (1994) for a review].
14

15 One notable aspect of many studies is that they evaluate the mechanisms responsible for detection
16 using threshold- and accuracy-based techniques. Accuracy based methods can answer many
17 important questions pertaining to various aspects of perception and cognition. Yet, they are
18 inherently limited when issues pertaining to dynamic mechanisms are raised, since by definition
19 they ignore temporal features of the system and correlate data (e.g., see Van Zandt & Townsend,
20 2012).
21

22 We can apply a separate strain of research in perceptual and cognitive psychology which focuses
23 on multiple signals vs. a single signal (or more specifically, two ears versus one ear) and
24 primarily uses reaction time (RT) for its dependent variable. We will refer to that approach as the
25 “redundant signals approach” (cf. Bernstein, 1970; Grice et al., 1984). Its terminology is, of
26 course, rather different than that typically employed in the hearing domain but we will strive to
27 provide sufficient bridges across the divide.
28

29 Within that general domain, strong tools have been developed that can assist the investigator in
30 unveiling the dynamics of the underlying perceptual system. We suggest that the two basic
31 measures, accuracy and RT, can together go a long way in answering fundamental questions
32 within binaural hearing. In fact, statistics derived within a theoretical, information processing
33 framework have led to theory-driven methodologies within which various aspects of cognitive
34 sensory processing can be evaluated.
35

36 The fundamental goal of this study is to apply the redundant signals techniques to further our
37 understanding of the mechanisms responsible for integrating information across the ears.
38 However, we need to first review some of the germane, basic findings in the binaural literature.
39 Almost all of these were accuracy based but a few measured RTs.
40

41 Several psychophysical approaches have been taken to address the fundamental question of
42 binaural integration with a substantial proportion of experiments using a basic task – detecting a
43 tone added to a band of noise. In these experiments, the detection threshold level of the tone is
44 typically measured (c.f. Fletcher, 1940). The tone + noise stimulus can be presented to a single

1 ear, commonly referred to as *monaural* presentation, denoted $N_m S_m$, where N refers to the noise, S
2 refers to the tonal signal, and m denotes the monaural presentation. The tone + noise stimulus can
3 also be presented to both ears. If both ears receive identical signals, we refer to this as a *diotic*,
4 homophasic presentation, $N_0 S_0$, where 0 represents identical noise (N_0) and identical tone (S_0)
5 presented to each ear. A number of psychophysical studies have demonstrated that presenting a
6 tone-in-noise diotically yields, at most, a marginal improvement in the detection threshold of the
7 pure tone compared to a monaural presentation (e.g., Hirsh and Burgeat, 1958, Egan et al., 1969;
8 Davidson et al., 2006).

9
10 In fact, to date, thresholds for $N_0 S_0$ and $N_m S_m$ are generally treated as being the same (c.f. Durlach
11 and Colburn, 1978). For threshold-based tests, then, there appears to be little or no benefit to
12 having the redundant tone-in-noise presented to a second ear, although a small benefit has been
13 reported for detecting pure tones in quiet (c.f., Moore, 2013). Consequently, performance in the
14 diotic conditions (for tones alone or tones in noise) is worse than a probability summation model
15 would predict with accuracy being, at best, slightly better for two ears compared to one.

16
17 Of course, natural conditions typically allow the two ears to receive different signals. Such a
18 situation would occur when a sound source is not directly in front of the listener. Any instance in
19 which the ears receive different signals is referred to as *dichotic* listening. In a very special case,
20 when presenting sounds over headphones, one can present a noise source identical (correlated)
21 between ears (N_0) with a signal source uncorrelated between the ears. If the signal stimulus is
22 presented π radians out of phase across the ears, we refer to this as an antiphase presentation,
23 $N_0 S_\pi$. Here, the signal level at threshold is much lower than in the $N_0 S_0$ condition, with the
24 difference in threshold commonly referred to as the binaural masking level difference (BMLD;
25 e.g., Hirsh, 1948; Jeffress et al., 1952; Egan, 1965; Henning, 1965; Henning et al., 2005;
26 Davidson et al., 2009). The dichotic stimulation thus leads to superior accuracy over either
27 monaural or diotic performance. Models of these types of psychophysical data include processes
28 of interaural cross-correlation, equalization and cancellation, and across-ear inhibition (e.g.,
29 Bernstein et al., 1999; Breebaart et al., 2001; Davidson et al., 2009).

30
31 To summarize, first the performance in the diotic conditions is worse than a probability
32 summation model would predict but with a slightly better relative accuracy in the binaural versus
33 monaural conditions. Secondly, dichotic stimulation with inverted tones leads to superior
34 performance. An ideal detector which could cancel the noise would allow for this superior result,
35 but would predict signal detection thresholds in $N_0 S_\pi$ to be the same as in quiet (Durlach and
36 Colburn, 1978). Because masking still does occur (that is, thresholds in $N_0 S_\pi$ are not equivalent to
37 unmasked thresholds), the noise cancellation process, though robust, is imperfect.

38
39 Both these findings indicate the absence of independent detection with each detector being the
40 same (i.e., just as good but no better) with both ears functioning as with only one. The
41 substandard performance in the diotic conditions could presumably be due to limitations in
42 capacity (i.e., caused by inadequate resources available to both ears simultaneously or perhaps to
43 mutual channel inhibition). However, the superior performance found with the dichotic

1 conditions suggests, as noted, some type of either energy or activation summation or, contrarily, a
2 type of information interaction as intimated by the cross-correlation interpretation.

3
4 Moving on to consider what has been accomplished in the binaural detection domain with
5 RT as the dependent variable, in 1944, Chocholle was the first to measure reaction times for
6 binaural versus monaural stimulation, demonstrating that binaural detection of pure tones (in
7 quiet) was faster than monaural detection. Simon (1967) showed that the difference in mean
8 reaction time between binaural and monaural stimulation was very small (about 4 ms for an
9 average 200 ms reaction time) but statistically significant. More recently, Schlittenlacher et al.
10 (2014) also demonstrated a 5-10 ms binaural advantage in reaction time. These studies reported
11 only mean reaction times and without a deeper quantitative analysis, one is challenged to
12 establish how activation of the two ears relates to resource allocation.

13
14 A seminal RT based study within the domain of redundant signals literature, was undertaken by
15 Schröter et al. (2007) who reported reaction time distributions for detection of a 300-ms, 60 dB
16 SPL pure tone presented to the left ear, the right ear, or both ears. Whether the two tones had
17 identical or different frequencies, there was little evidence for a redundant-signal benefit. That is,
18 although reaction times were slightly faster for detecting two tones versus one tone, the increase
19 in RT was less than would be expected under probability summation. However, in a second
20 experiment, one of the tones was replaced by a noise, and here they found faster reaction times
21 than would be predicted by a probability summation model. We will discuss the Schröter et al.
22 (2007) results alongside our own.

23
24 Our approach here will be to implement a suite of tools from the theory-driven RT methodology,
25 “systems factorial technology” (subsequently SFT) originated by Townsend and colleagues (e.g.,
26 Townsend & Nozawa, 1995; Townsend & Wenger, 2004a). This methodology permits the
27 simultaneous assessment of a number of critical information processing mechanisms within the
28 same experimental paradigm. These tools will allow an analysis of resource allocation and
29 interaction between the two ears and also provides for psychophysical assessment under very
30 different conditions than accuracy- or threshold-based measures.

31
32 First, reaction times can be measured under conditions of very high accuracy, tapping into
33 different locations on the psychometric function. With respect to BMLD studies, the
34 psychometric functions for detecting a tone added to noise in the N_0S_0 and N_0S_π contexts are
35 parallel but they do not overlap when the masking release is large (Egan et al., 1969). Because the
36 psychometric functions do not overlap, auditory mechanisms are evaluated for these two contexts
37 at largely different SNRs. Given the nonlinear nature of the ear, it is indeed possible that different
38 auditory mechanisms may be invoked at the two different SNRs estimated at threshold. Second,
39 accuracy-based techniques do not allow easy assessment of the dynamics of the system without
40 clever stimulus manipulations that can be difficult to implement acoustically. Finally, reaction
41 time measures can provide a complement to accuracy-based measures in our attempt at
42 converging on a unified understanding of the mechanisms responsible for perception. Since the
43 broad suite of tools available in SFT has not heretofore been implemented in binaural perception

1 and not at all to the release from masking phenomenon, the following section provides a brief
2 tutorial.

3 4 Architecture: The Serial Versus Parallel Issue

5
6 One of the first issues to address is the form, or the architecture, used by a system. We define
7 *serial processing* as processing things one at a time or sequentially, with no overlap among the
8 successive processing times. Processing might mean search for a target among a set of distractors
9 in memory or in a display, solving facets of a problem, deciding among a set of objects, and so
10 on. *Parallel processing* means processing all things simultaneously, although it is allowed that
11 each process may finish at different times (Townsend et al., 2011).

12
13 Although the term *architecture* might seem to imply rigid structure, we may also employ it to
14 refer to more flexible arrangements. Thus, it might be asserted that certain neural systems are, at
15 least by adulthood, fairly wired in and that they act in parallel (or in some cases, in serial). On the
16 other hand, a person might scan the newspaper for, say, two terms, one at a time, that, is serially
17 or, by dint of will, might try to scan for them in parallel. Although parallel versus serial
18 processing is in some sense the most elemental pair of architectures, much more complexity can
19 be imagined and, indeed, investigated theoretically and empirically (e.g., Schweickert, 1978;
20 Schweickert & Townsend, 1989). Figure 1 illustrates the architecture associated with serial and
21 parallel processing.

22
23 If we are dealing with only one or two channels or items, we shall often just refer to these as a or
24 b, but if we must consider the general case of arbitrary n items or channels, we list them as 1, 2, ...,
25 n-1, n. In a serial system, then, if $n = 2$, and channels a and b are stochastically independent (see
26 subsequent material for more on this issue), then the density of the sum of the two serial times is
27 the convolution of the separate densities (Townsend and Ashby, 1983, p. 30).

28
29 This new density is designated as $f_a(t) * f_b(t)$, where the asterisk denotes convolution and a and b
30 are processed serially. The mean or expectation of the sum $E[T_a + T_b] = E[T_a] + E[T_b]$ indicates
31 that the overall completion time for serial processes is the sum of all the individual means. The
32 standard serial model requires that $f_a(t) = f_b(t)$, which in turn implies that $E[T_a] = E[T_b] = E[T]$,
33 and $E[T_a + T_b] = 2E[T]$.

34
35 In parallel processing, assuming again stochastic independence across the items or channels, the
36 overall completion time for both items has to be the last, or maximum finishing time for either
37 item. Thus, the density that measures the last finishing time is $f_{\max}(t) = f_a(t)F_b(t) + f_b(t)F_a(t)$. The
38 interpretation of this formula is that a is either the last to finish by time t (b is already done by
39 then), or b finishes last at time t and a is already done by then. In this case, we can write the mean
40 in terms of the survivor function: $E[T] = \int S(t) dt$, integrating t from 0 to infinity. The survivor
41 function in the present situation is $S(t) = 1 - F_a(t)F_b(t)$ and the mean can be calculated using the
42 already given integral.

43 44 Standard Serial Models

1
 2 This type of model is what most people mean when they only say “serial unadorned”. Thus, it is
 3 the model advocated by S. Sternberg in many of his early papers (e.g., Sternberg, 1966). To reach
 4 it in the case that $n = 2$, let $f_a(t) = f_b(t) = f(t)$. That is, the probability densities are the same across
 5 items or positions and even n . The latter indicates that $f(t)$ defines the length of time taken on an
 6 item or channel no matter how the size of the set of operating items or channels. Furthermore, it is
 7 assumed in the standard serial model that each successive processing time is independent of all
 8 others. So, if a is second, say, its time does not depend on how long the preceding item (e.g., b)
 9 took to complete its processing.

10
 11 Note, however, that we allow that different paths through the items might be followed from trial
 12 to trial. We also do not confine the stopping rule to a single variety. Now, Sternberg's preferred
 13 model assumed that exhaustive processing (all items were required to finish to stop) was used
 14 even in target-present trials. But we allow the standard model to follow other, sometimes more
 15 optimal, rules of cessation. Because all the n densities are now the same we can simply write the
 16 n th order convolution for exhaustive processing in symbolic form as $f_{\max}(t) = f^{*(n)}(t)$. The
 17 exhaustive mean processing time is then $E_{\max}[T_1 + T_2 + \dots + T_n] = n E[T]$.

18
 19 Next consider the situation where exactly one target is present among $n - 1$ distractors and the
 20 system is self-terminating (ST; only one item is required to stop the process). Again, it is assumed
 21 that the target is placed with probability $1/n$ in any of the n locations. Then it follows that $f_{st}(t) =$
 22 $1/n \sum f^{*(i)}$. The mean processing time in this case is the well-known $E_{st}[T] = (n+1)E[T]/2$. This
 23 formula can be interpreted that on average, it takes the searcher approximately one-half of the set
 24 of items to find the target and cease processing. Finally, when processing stops as soon as the first
 25 item is finished, then we have the result $f_{\min}(t) = f(t)$ and that $E_{\min}[T] = E[T]$.

26 27 Standard Parallel Models

28
 29 The standard parallel model also assumes independence among the processing items, but this time
 30 in a simultaneous sense. Thus, the processing time on any individual channel is stochastically
 31 independent of that of any other channel. The standard parallel model further assumes unlimited
 32 capacity. The notion of capacity will be developed in detail below but suffice to mention for the
 33 moment that it means that, overall, the speed of each channel does not vary as the number of
 34 other channels in operation is varied. However, we do not assume that the various channel
 35 distributions are identical, unlike the standard serial model. Here, mean exhaustive processing
 36 time is just $E[\text{MAX}(T_1, T_2, \dots, T_{n-1}, T_n)]$ and the mean time in the event of single target self-
 37 termination and the target is in channel i , is simply $E[T_i]$. That for the minimum time (i.e., race) is
 38 $E[\text{MIN}(T_1, T_2, \dots, T_{n-1}, T_n)]$.

39 40 Selective Influence

41
 42 For decades, a popular way to attempt to test serial vs. parallel processing has been to vary the
 43 processing load (i.e., number of items, n), and then to plot the slopes of the mean response times

1 as a function. If the slope of such a graph differs significantly from 0, then processing is declared
2 to be serial. If it does not differ significantly from 0, parallel processing is inferred. This
3 reasoning is fallacious on several grounds but the major infirmity is that such ‘tests’ are primarily
4 assessing capacity as workload changes, not architecture. Thus, what is commonly determined to
5 be evidence for serial processing can be perfectly and mathematically mimicked by a limited
6 capacity parallel model (Townsend, 1990; Townsend, et al. (2011).

7
8 Sternberg’s celebrated additive factors (Sternberg, 1969) method offered a technique which
9 avoided the fragile capacity logic, which could affirm or deny serial processing. The method was
10 based on the notion of “selective influence” of mean processing times, which stipulated that each
11 experimental factor affect one and only one psychological subprocess at the level of means. The
12 challenge there was that the method did not directly test other important architectures such as
13 parallel systems. Also, there was a lack of mathematical proof for the association of “factors that
14 are additive” even with serial processing if the successive times were not stochastically
15 independent and again, no clear way to include other architectures.

16
17 Townsend & Schweickert (1989) proved that if selective influence acted at a stronger level, then
18 many architectures, including parallel and serial ones, could be discriminated at the level of mean
19 response times. Subsequent work, and that which we attempted to implement here, extended such
20 theorems to the more powerful level of entire response time distributions (Townsend & Nozawa,
21 1995; Townsend & Wenger, 2004b).

22
23 We have discovered many tasks where stern tests of selective influence are passed. When they
24 do not pass the tests it can itself often help to determine certain aspects of a processing system
25 (see, e.g., Eidels, et al., 2011). However, the strict use of the methodology to assess architecture
26 cannot be applied. As we will learn below, the tests were not successfully passed, and this feature
27 does play an important role in our discussion.

28 29 Independence Versus Dependence Of Channel or Item Processing Times

30
31 We also must discuss *independence* versus *dependence* of channels, stages, or subsystems (these
32 terms can be used interchangeably although the term stages is sometimes restricted to serial
33 systems and channels to parallel systems). In this introduction, we have been explicitly assuming
34 stochastic independence of processing times, whether the architecture is serial or parallel.

35
36 In serial processing, if the successive items are dependent, then what happens on a, say, can affect
37 the processing time for b. Although it is still true that the overall mean exhaustive time will be the
38 sum of the two means, the second, say b, will depend on a's processing time. Speeding up a could
39 either speed up or slow down b because they are being processed simultaneously; ongoing
40 inhibition or facilitation (or both) can take place during a single trial and while processing is
41 ongoing. Townsend and Wenger (2004b) discuss this topic in detail.

42
43 It is interesting to note that the earlier prediction of independent parallel processing in self-
44 terminating situations will no longer strictly hold. However, it will still be true even if processing

1 is dependent that the predicted ST density will be the average or expected value of the density in
 2 the channel where the sought-for target is located, $E[T_a]$. Only in the non-independent situation,
 3 this expectation has to be taken over all the potential influences from the surrounding channels.

4
 5 Stopping or Decision Rule: When Does Processing cease?

6
 7 No predictions can be made about processing times until the model designer has a rule for when
 8 processing stops. In some high-accuracy situations, such as search tasks, it is usually possible to
 9 define a set of events, any one of which will allow the processor to stop without error. In search
 10 for a set of targets then, the detection of any one of them can serve as a signal to cease processing.
 11 A special case ensues when exactly one sought- for target is present. In any task where a subset of
 12 the display or memory items is sufficient to stop without error, and the system processor is
 13 capable of stopping (not all may be), the processor is said to be capacity of *self-termination*.
 14 Because many earlier (e.g., Sternberg, 1966) investigations studied exhaustive versus single-
 15 target search, self-termination was often employed to refer to the latter, although it can also
 16 have generic meaning and convey, say, *first-termination* when the completion of any of the
 17 present items suffices to stop processing. The latter case is often called an OR design because
 18 completion of any of a set of presented items is sufficient to stop processing and ensure a correct
 19 response (e.g., Egeth, 1966; Townsend & Nozawa, 1995).

20
 21 If all items or channels must be processed to ensure a correct response then exhaustive processing
 22 is entailed. For instance, on no-target (i.e., nothing present but distractors or noise) trials, every
 23 item must be examined to guarantee no targets are present. In an experiment where, say, all n
 24 items in the search set must be a certain kind of target, called an AND design, exhaustive
 25 processing is forced on the observer (e.g., Sternberg, 1966; Townsend & Nozawa, 1995).
 26 Nevertheless, as intimated earlier, some systems may by their very design have to process
 27 everything in the search set, so the question is of interest even when, in principle, self-
 28 termination is a possibility.

29
 30 Hence, in summary, there are three cases of especial interest:(a) minimum time, OR, or first-self-
 31 termination, where there is one target among n - 1 other items and processing can cease when it
 32 is found; (b) single-target self-termination, where there is one target among n-1 other items and
 33 processing can cease when it is found, and (c) exhaustive or AND processing, where all items or
 34 channels are processed. Figure 2 depicts AND (exhaustive) and OR (first-terminating)
 35 processing in a serial system, whereas Figure 3 does the same for a parallel system. Suppose
 36 again there are just two items or channels to process, a and b, and serial processing is being
 37 deployed. Assume that a is processed first. Then the minimum time processing density is simply
 38 $f_{\min}(t) = f_a(t)$, naturally just the density of a itself. Assume now there is a single target present in
 39 channel a and one distractor is in channel b, and self-terminating serial processing is in force.
 40 Then the predicted density is $f_{st}(t) = pf_a(t) + (1-p)f_b(t)*f_a(t)$. That is, if a happens to be checked first,
 41 which occurs with probability p, then the processing stops. On the other hand, if b is processed
 42 first and a distractor is found then a has to be processed also so the second term is the convolution

1 of the a and b densities. In the event that both items must be processed, then the prediction is
 2 just that given earlier: $f_{\max}(t) = f_a(t) * f_b(t)$.

3
 4 When processing is independent parallel, the minimum time rule delivers a horse race to the
 5 finish, with the winning channel determining the processing time (Figure 3b). The density is just
 6 $f_{\min}(t) = f_a(t)S_b(t) + f_b(t)S_a(t)$. This formula possesses the nice interpretation that a can finish at time
 7 t but b is not yet done (indicated by b's survivor function), or the reverse can happen. If
 8 processing is single-target self-terminating with the target in channel a, parallel independence
 9 predicts that the density is the simple $f_{st}(t) = f_a(t)$. Finally, if processing is exhaustive
 10 (maximum time) and independent, then processing is the same as shown before:
 11 $f_{\max}(t) = f_a(t)F_b(t) + f_b(t)F_a(t)$ (Fig. 3a).

12
 13 The stopping rule in our experiments is always OR, that is, the observers were required to
 14 respond with the “yes” button if a signal tone appeared either in the left ear, the right ear, or both
 15 ears. Otherwise, they were instructed to respond with the “no” button.

16 17 Capacity and Workload Capacity: Various Speeds on a Speed Continuum

18
 19 *Capacity* generally refers to the relationships between the speeds of processing in response-time
 20 tasks. Workload capacity will refer to the effects on efficiency as the workload is increased. For
 21 greater mathematical detail and in-depth discussion, see Townsend and Ashby (1978), Townsend
 22 and Nozawa (1995), and Townsend and Wenger (2004b). Wenger and Townsend (2000) offer an
 23 explicit tutorial and instructions on how to carry out a capacity analysis.

24
 25 Informally, the notion of *unlimited capacity* refers to the situation when the finishing time of a
 26 subsystem (item, channel, etc.) is identical to that of a standard parallel system (described in
 27 more detail later); that is, the finishing times of the distinct subsystems are parallel, and the
 28 average finishing times of each do not depend on how many others are engaged (e.g., in a
 29 search task the finishing time marginal density function for an item, channel etc., $f(t)$ is invariant
 30 over the total number of items being searched). *Limited capacity* refers to the situation when item
 31 or channel finishing times are less than what would be expected in a standard parallel system.
 32 *Super capacity* indicates that individual channels are processing at a rate even faster than standard
 33 parallel processing. Figure 4 illustrates the general intuitions accorded these concepts, again in an
 34 informal manner. The size of the cylinders provides a description of the amount of resources
 35 available.

36
 37 The stopping rule obviously affects overall processing times (see Figure 5 for a depiction of how
 38 reaction times change with increasing workload for the different models). Figure 5 indicates mean
 39 response times as a function of workload. *Workload* refers to the quantity of labor required in a
 40 task. Most often, workload is given by the number of items that must be operated on. For
 41 instance, workload could refer to the number of items in a visual display that must be compared
 42 with a target or memory item.

43

1 However, we assess capacity (i.e., efficiency of processing speed) in comparison with standard
 2 parallel processing with specification of a particular stopping rule. Thus, although the minimum
 3 time (first-terminating or OR processing) decreases as a function of the number of items
 4 undergoing processing (because all items are targets), the system is merely unlimited, not super,
 5 because the actual predictions are from a standard parallel model (i.e., unlimited capacity with
 6 independent channels). But observe that each of the serial predictions would be measured as
 7 limited capacity because for each stopping rule, they are slower than the predictions from
 8 standard parallel processing.

10 Although Figure 5 indicates speed of processing through the mean response times, there are
 11 various ways of measuring this speed. The mean ($E[T]$) is a rather coarse level of capacity
 12 measurement. A stronger gauge is found in the cumulative distribution function $F(t)$, and the
 13 hazard function ($h(t)$, to be discussed momentarily) is an even more powerful and fine grained
 14 measure. This kind of ordering is a special case of a hierarchy on the strengths of a vital set of
 15 statistics (Townsend, 1990; Townsend & Ashby, 1978).

17 The ordering establishes a hierarchy of power because, say, if $F_a(t) > F_b(t)$ then the mean of a is
 18 less than the mean of b. However, the reverse implication does not hold (the means being
 19 ordered do not imply an order of the cumulative distribution functions). Similarly if $h_a(t) > h_b(t)$
 20 then $F_a(t) > F_b(t)$, but not vice versa, and so on. Obviously, if the cumulative distribution
 21 functions are ordered then so are the survivor functions. That is, $F_a(t) > F_b(t)$ implies $S_a(t) < S_b(t)$.

23 There is a useful measure that is at the same strength level as F or S . This measure is defined as
 24 $-\ln S(t)$. Wenger and Townsend (2000) illustrate that this is actually the integral of the hazard
 25 function $h(t)$ from 0 to t (e.g., Wenger and Townsend, 2000; see also Neufeld et al., 2007).
 26 We thus write the integrated hazard function as $H(t) = -\log[S(t)]$. Although $H(t)$ is of the same
 27 level of strength as $S(t)$, it has some very helpful properties not directly shared by $S(t)$.

29 Now it has been demonstrated that when processing is of this form, the sum of the integrated
 30 hazard functions for each item presented alone is precisely the value, for all times t , of the
 31 integrated hazard function when both items are presented together (Townsend and Nozawa,
 32 1995). That is, $H_a(t) + H_b(t) = H_{ab}(t)$. This intriguing fact suggests the formulation of a new
 33 capacity measure, which the Townsend and Nozawa called the *workload capacity coefficient*
 34 $C(t) = H_{ab}(t) / [H_a(t) + H_b(t)]$, that is, the ratio of the double item condition over the sum of the
 35 single item conditions. If this ratio is identical to 1 for all t , then the processing is considered
 36 *unlimited*, as it is identical to that of an unlimited capacity independent parallel model. If $C(t)$ is
 37 less than 1 for some value of t , then we call processing *limited*. For instance, either serial
 38 processing of the ordinary kind or a fixed-capacity parallel model that spreads the capacity
 39 equally across a and b predicts $C(t) = 1/2$ for all times $t > 0$. If $C(t) > 1$ at any time (or range of
 40 times) t , then we call the system *super capacity* for those times. A tutorial on capacity and how to
 41 assess it in experimental data is offered in Wenger and Townsend (2000). In a recent extension of
 42 these notions, we have shown that if configural parallel processing is interpreted as positively
 43 interactive parallel channels (thus being dependent or positively correlated rather than

1 independent), then configural processing can produce striking super capacity (Townsend and
2 Wenger, 2004b).

3
4 Subsequently, a general theory of capacity was formulated that permitted the measurement of
5 processing efficiency for all times during a trial (Townsend and Nozawa, 1995). Employing
6 standard parallel processing as a cornerstone, the theory defined unlimited capacity as efficiency
7 identical to that of standard parallel processing in which case the measure is $C(t) = 1$. It defined
8 limited capacity as efficiency slower than standard parallel processing. For instance, standard
9 serial processing produces a measure of capacity of $C(t) = 1/2$. And finally, the theory defined
10 super capacity as processing with greater efficiency than standard parallel models could produce,
11 that is, $C(t) > 1$.

12
13 In sum, our measuring instrument is that of the set of predictions by unlimited-capacity
14 independent parallel processing (UCIP). As mentioned above, *unlimited capacity* means here that
15 each parallel channel processes its input (item, etc.) just as fast when there are other surrounding
16 channels working (i.e., with greater n) as when it is the only channel being forced to process
17 information. The purpose of this paper is to apply these techniques, with a focus on comparing
18 binaural detection capacity measures in diotic and dichotic contexts.

19 20 Methods

21 22 Stimuli

23
24 Stimuli were 440-Hz pure tones added to wide bands of noise. The target signal was a 250-ms
25 pure tone with 25-ms cosine-squared onset and offset ramps. For each trial, the signal was
26 generated with a random phase, selected according to a uniform distribution. The 500-ms noise
27 was generated using a Gaussian distribution in the time domain at a sampling rate of 48828 Hz. A
28 new random sample of noise was generated for each trial. The noise was always presented at a
29 sound pressure level of 57 dB SPL and also had 25-ms rise/fall times. The target tone was
30 presented at signal-to-noise ratios (SNR) of either + 6 (the High SNR) and -6 dB (the Low SNR).
31 These SNRs would be expected to yield accuracy measures near 100% for all detection
32 conditions. Accuracy was indeed very high for all conditions and subjects: ranging from 97.5% to
33 99% percent correct.

34 35 Procedures

36 On each trial, there were four possible events: a tone + noise presented to both ears (binaural
37 trials), a tone + noise presented to the left ear, a tone + noise presented to the right ear, or noise
38 alone. These four events were equally probable and are described below and are also illustrated in
39 Table 1.

40
41 Table 1. Illustration of stimulus conditions. Each row represents an occurrence with frequency of
42 $1/16^{\text{th}}$. S+N refers to signal + noise, N refers to noise, and a blank space indicates no stimulus
43 presented. H and L refer to High and Low signal-to-noise ratios, respectively. 75% of the trials
44 are “Yes” (signal-present trials) whereas 25 % of the trials are “No” (signal-absent trials).

	<i>Left ear</i>	<i>Right ear</i>	
Yes trials: Dual targets (binaural)	S+N (High)	S+N (High)	HH
	S+N (High)	S+N (Low)	HL
	S+N (Low)	S+N (High)	LH
	S+N (Low)	S+N (Low)	LL
Yes trials: Single targets (monaural)	S+N (High)		
	S+N (High)		
	S+N (Low)		
	S+N (Low)		
		S+N (High)	
		S+N (High)	
		S+N (Low)	
		S+N (Low)	
No trials (noise alone)	N	N	
	N	N	
	N		
		N	

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In the tone + noise trials ('Yes' trials), the SNR was manipulated such that the low and the high SNRs were presented equally often. The binaural trials (referred to as dual-target trials) yield four possible events (see Table 1, top four rows): Left ear-High + Right ear-High (denoted HH throughout), Left ear- High + Right ear-Low (HL), and Left ear- Low + Right ear-High (LH), Left ear-Low + Right ear-Low (LL). The monaural trials (referred to as single-target trials) yielded two SNRs (High and Low) for each ear. These are depicted in the middle eight rows of Table 1.

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Of the noise (or 'No') trials, 1/2 of the trials presented the noise in both ears, 1/4 of trials had noise in the left ear and 1/4 of trials had noise in the right ear.¹ Trials were presented in random order throughout the experiment in blocks of 128 trials. 10 blocks were collected for each context, yielding a total of 80 trials in each dual-target condition (HH, LL, LH, HL) and 160 trials in each single-target condition (Left-High, Left-Low, Right-High, Right-Low).

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Trials were run in two separate contexts, defined by the characteristics of the dual-target trials: N_0S_0 and N_0S_π . In the N_0S_0 context (diotic), identical noises and signals were presented to the two ears. In the N_0S_π context (dichotic), the noises were identical across the ears but the signal was phase shifted by π radians to one of the ears. Note that the single-target stimuli were the same regardless of whether they were presented in the N_0S_0 or N_0S_π context. In this way, a single block in either context consisted of 50% single-target trials (1/2 to left ear and 1/2 to right ear), 25% dual-target trials, and 25% noise-alone trials.

¹ Note that 1/2 of the no trials were binaural trials whereas only 1/3 of the yes trials were binaural. In this case, then there could be a bias towards a 'no' response when a binaural noise is heard. Additional data collection suggests that this bias did not lead to a difference in the results presented here.

1
2 Observers participated in experimental sessions lasting one hour. A single session consisted of 6-
3 8 blocks of 128 trials. Each trial began with a visual warning of “listen” appearing on a computer
4 monitor for 500 ms. A silent period of 500 ms followed removal of the warning, when the noise
5 stimulus began. When the 250-ms target tone was present, it occurred at a random interval from
6 50 to 250 ms after the onset of the 500-ms noise.

7
8 Stimuli were presented to the observers at a 24414 kHz sampling rate using a 24-bit Tucker Davis
9 Technologies (TDT) RP2.1 real-time processor. Target and masker were summed digitally prior
10 to being played through a single channel of the RP2.1 (for the monaural stimuli) or both channels
11 of the RP2.1 (for the binaural stimuli). Each channel was calibrated via a PA5 programmable
12 attenuator, passed through an HB6 headphone buffer, and presented to observers through a
13 Sennheiser HD280 Pro headphone set. Reaction times were measured using a button box
14 interfaced to the computer through the TDT hardware.

15 16 Observers

17
18 Four listeners, ranging in age from 20 to 43 participated in the experiment. All subjects had
19 hearing thresholds of 15 dB HL or better in both ears at all audiometric frequencies. Obs. 4 is the
20 first author. Obs 1-3 competed trials in the N_0S_0 context first whereas Obs. 4 completed trials in
21 the N_0S_π context first. Subjects provided written informed consent prior to participation and Obs.
22 1-3 were paid per session. Testing procedures were overseen by Indiana University’s Institutional
23 Review Board.

24
25 Observers were instructed to respond as quickly to the signal tone as possible while attempting to
26 provide correct responses. Using an ‘OR’ design, observers were required to respond with the
27 “yes” button if a tone was present. Otherwise, they were instructed to respond with the “no”
28 button. The reaction time was measured from the onset of the tone stimulus within the noise.
29 Percent correct was recorded in order to ensure that subjects achieved high levels of performance
30 for both SNRs.

31 32 Results

33 34 Mean reaction times

35
36 Table 2 shows mean reaction times in milliseconds for single targets for the two contexts (N_0S_0
37 and N_0S_π). Reaction times below 100 ms or greater than 3 standard deviations from the mean
38 were excluded from the data set. A repeated-measures ANOVA revealed a significant effect of
39 SNR ($F(1, 3) = 586.6, p < .0001$) in which faster reaction times were associated with the higher
40 SNR (254 vs. 209 ms). No other significant main effects or interactions were revealed by the
41 ANOVA, although the main effect of context approached significance [$F(1,3)=10.0; p=0.051$].
42 The slightly faster reaction times in N_0S_π (293 ms vs 270 ms) may be due to three of the observers
43 completing N_0S_π after N_0S_0 and consequently could be attributable to practice effects. However,
44 even Obs. 4 was faster in N_0S_π and she completed these conditions first. Recall that for these

1 contexts, the same stimuli were used for the single-target conditions, and so no difference in
 2 context was expected.

3

4 Table 2. Mean reaction times in ms for the single-target conditions for each subject in the two
 5 contexts. RTs for both ears and both SNRs are shown. Standard errors of the mean are indicated
 6 for the averages.

7

	N_0S_0				N_0S_π			
	Left ear		Right ear		Left ear		Right ear	
	Low	High	Low	High	Low	High	Low	High
Obs 1	289	232	291	228	278	226	276	225
Obs 2	310	272	318	266	305	252	304	254
Obs 3	316	259	313	254	281	228	290	230
Obs 4	371	295	350	317	332	262	320	265
Average	321 (17)	265 (13)	318 (12)	266 (19)	299 (13)	242 (9)	297 (9)	243 (10)

8

9 These results are consistent with previous studies demonstrating a robust negative relationship
 10 between the reaction time and the intensity of the stimulus being detected in quiet (e.g.,
 11 Chocholle, 1944; Grice et al., 1974; Kohfeld, 1971; Santee and Kohfeld, 1977; Schlittenlacher et
 12 al., 2014) as well as the signal-to-noise ratio (and signal levels) for a signal detected in noise (e.g.,
 13 Green and Luce, 1971; Kemp 1984). Accuracy was very high, with the miss rate averaging 0.5%
 14 for the high SNR and 2.6% for the low, also implicating a small difference in accuracy for the
 15 two SNRs. Consequently, we, like others, have observed strong selective influence effects for
 16 single-target stimuli.

17

18 Table 3 shows the mean reaction times in milliseconds for the dual target conditions for N_0S_0 and
 19 N_0S_π contexts. A repeated-measures ANOVA revealed a significant effect of SNR [$F(3, 9) =$
 20 $95.8, p < .0001$] and an interaction between context and SNR [$F(3,9)=18.7; p<0.001$]. Post-hoc t-
 21 tests with a Bonferroni correction indicated that reaction times in LL were slower than all other
 22 conditions, but only for N_0S_0 .

23

24 Table 3. Mean reaction times in ms for the dual-target conditions. Standard errors of the mean are
 25 indicated in parentheses for the averages.

26

	N_0S_0				N_0S_π			
	HH	LL	LH	HL	HH	LL	LH	HL
Obs 1	225	266	229	228	222	244	218	225
Obs 2	255	306	262	259	252	273	253	263
Obs 3	247	312	257	257	213	243	223	226
Obs 4	299	344	300	306	260	280	273	266

Average	257 (15)	307 (16)	262 (15)	263 (16)	234 (12)	260 (10)	242 (13)	245 (11)
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1
2 For the N_0S_0 context, a general failure of selective influence is evident, as only LL was associated
3 with reaction times slower than the other conditions. Recall that for accuracy data, N_0S_0 detection
4 thresholds are similar to monaural (N_mS_m) detection thresholds. Thus, these RT results essentially
5 mirror the threshold data: HH, LH and HL reaction times are effectively determined by the faster
6 of the two detections. For LH and HL, this is the stimulus with the higher SNR. Note, however,
7 there is a slight (albeit not statistically significant) trend for the HH trials to have faster reaction
8 times than the HL and LH trials. On average, the HH trials are about 5 ms faster than the HL and
9 LH trials. If we consider that HL and LH trials are similar to monaural presentation, we see that
10 this result is similar to the size of the effect observed for monaural versus binaural stimulation for
11 pure tones (e.g., Chocholle 1944, Simon 1967, Schröter et al. 2007 Exp. 1, Schlittenlacher et al.
12 2014). Although the effect size, as measured by Cohen's d , is less than 0.2 we believe that with
13 more samples we would see a consistent advantage of two ears over one in mean reaction time.

14
15 Further, there is some evidence that reaction times are faster in for the dual targets than for the
16 single targets. In the N_0S_0 context, RTs for the high SNR were 257 ms for the HH dual targets and
17 265 ms for the High single targets. For the low SNR, RTs were 307 ms for the LL dual targets
18 and 320 ms for the Low single targets. These results again imply a small but consistent binaural
19 advantage for detecting tones embedded in noise. Miss rates also followed this trend, averaging
20 0.5% for dual targets and 1.6% for single targets.

21
22 In the N_0S_π context, we see failure of selective influence, with no statistically significant
23 difference between any of the dual-target conditions. These results do not simply suggest that the
24 reaction time is primarily driven by the stimulus yielding the faster RT because RTs in LL are
25 similar to those in HH. Here, mean RTs for the LL conditions are significantly faster for the dual
26 target than the single-target conditions. RTs for LL were 260 ms but were 298 ms for the low-
27 SNR single targets. The implications of these results will be discussed subsequently, as we
28 address the reaction time distributions and in the section describing capacity. Miss rates were 0%
29 for all subjects and conditions within N_0S_π .

30 31 Survivor functions

32
33 Although of primary interest to this paper are the reaction time data for the dual target conditions,
34 it is worth presenting the reaction time distributions for the single-target data, to familiarize the
35 reader to the data format and to present the robust reaction-time distributional data. Figure 6 plots
36 derived survivor functions for the high and low SNRs presented to the left and right ears in the
37 two contexts: N_0S_0 (left panels) and N_0S_π (right panels). Recall that the survivor function, $S(t)$ is
38 simply $1-F(t)$, where $F(t)$ represents the cumulative distribution function of reaction times. Data
39 from a representative single subject (Obs. 2) are presented because of overwhelming similarity in
40 the pattern of results across the subjects

41
42 Because a powerful ordering of faster reaction times associated with the high SNR ratio, the same

1 symbols are used to display data from the left ear (unfilled circles) and data from the right ear
 2 (solid lines). All subjects demonstrated significantly faster reaction times for the high SNRs
 3 versus the low SNR. For all statistical tests, non-parametric Kolmogorov-Smirnov (KS) tests of
 4 survivor function orderings at the $p < 0.0001$ level were taken to establish statistical significance.
 5 The lower-than-typically used p value is used due to the presence of multiple comparisons. The
 6 only parameter associated with survivor function ordering was SNR. Table 4 presents the p
 7 values to illustrate the pattern of results across subjects. There also was no difference in reaction
 8 times measured for the single targets dependent on context. That is, the RT distributions for
 9 single targets were not statistically different whether RTs were measured in the N_0S_0 or the N_0S_π
 10 context.

11
 12 Table 4. p values for Kolmogorov-Smirnov (KS) test for single targets. ** indicates statistical
 13 significance at the $p < 0.0001$ level.

14

		Left	Right	High	Low
		Low vs. High	Low vs. High	Left vs. Right	Left vs. Right
N_0S_0	Obs 1	<.0001 **	<.0001 **	0.47	0.65
	Obs 2	<.0001 **	<.0001 **	0.12	0.20
	Obs 3	<.0001 **	<.0001 **	0.47	0.65
	Obs 4	<.0001 **	<.0001 **	0.02	0.32
N_0S_π	Obs 1	<.0001 **	<.0001 **	0.56	0.91
	Obs 2	<.0001 **	<.0001 **	0.91	0.56
	Obs 3	<.0001 **	<.0001 **	0.65	0.25
	Obs 4	<.0001 **	<.0001 **	0.47	0.75

15
 16 The data present a compelling case that selective influence is present for tone-in-noise detection
 17 and that increases in SNR facilitate a faster reaction time. Further, the context in which the
 18 reaction times were measured (in the presence of N_0S_0 or N_0S_π stimuli) has little effect on the
 19 distribution of reaction times. We also see no evidence that the right ear is faster than the left ear
 20 for tone-in-noise detection, at least in a task where listeners must divide their attention across ears
 21 (see also Schlittenlacher et al., 2014).

22
 23 Figure 7 plots the derived survivor functions for the dual target data in the N_0S_0 contexts (left
 24 panels) and the N_0S_π contexts (right panels). For all observers, a failure of selective influence is
 25 obvious, with HH, HL, LH being not statistically different from each other. This overlap is
 26 present for both the N_0S_0 contexts and the N_0S_π contexts.

27
 28 The N_0S_π contexts reveal a slightly different pattern although the failure of selective influence is
 29 still obvious. The only consistent pattern across all subjects is $LL < HH$. Obs. 1, 3 and 4 show a
 30 pattern similar to N_0S_0 with $LL < LH = LH$. Obs. 4 also demonstrates $HH < LH$.

31

1 Although the N_0S_π context indicates survivor function orderings that are a little more diverse
 2 across observers than the N_0S_0 context, the glaring failure in both immediately renders untenable
 3 any analysis of architecture. We shall discuss potential reasons for this failure in the General
 4 Discussion. In any case, the statistical function, $C(t) = \text{workload capacity}$, turns out to be highly
 5 informative all by itself.

6
 7 Capacity

8
 9 Capacity functions for the two contexts are plotted in Figures 8 and 9 for the four subjects and
 10 summarized in Table 5 using Houpt and Townsend's (2012) statistical analysis. Because the HH
 11 and LL conditions showed the starkest contrast from one another, those are shown in Fig. 8.

12 Capacity functions for the LH and HL conditions are then shown in Fig. 9.

13
 14 Table 5. Statistical inferences for the capacity functions. Cases where the null hypothesis (the
 15 Unlimited Capacity Independent Parallel model) can be rejected using the Houpt and Townsend
 16 (2011) statistical tests are displayed in the table with asterisks. Other cases trending toward
 17 limited (C consistently less than 0.8) and trending toward super capacity (C consistently greater
 18 than 1.25) are also indicated but without the asterisks indicating statistical significance.

19

	N_0S_0				N_0S_π			
	HH	LL	LH	HL	HH	LL	LH	HL
Obs. 1	Limited **				Limited **	Super *	Super	
Obs. 2	Limited **	Limited **		Super	Limited **	Super		Limited **
Obs. 3	Limited **	Limited **	Limited **	Limited *		Super **	Super	
Obs. 4	Limited **		Limited **	Limited **	Limited **	Super		

20 * $P < 0.01$

21 ** $P < 0.001$

22
 23 Miller (1982) suggested an inequality, or upper bound on RTs for channels involved in a race
 24 within a redundant-target paradigm. Consider the OR paradigm, where any target item can lead to
 25 a correct response, and suppose that the stimulus presentation initiates a race in a parallel system.
 26 The logic behind the Miller inequality states that if the marginal finishing time distributions from
 27 the single target conditions stay unchanged in the redundant target condition (implying unlimited
 28 capacity), then the cumulative distribution function for the double-targets display cannot exceed
 29 the sum of the single-target cumulative distribution functions (see, e.g., Townsend & Wenger,
 30 2004b).

1 In our current language, violation of the Miller bound (i.e., the inequality), would imply super
2 capacity. Next, it is possible, using a formula introduced by Townsend and Eidels (2011), to
3 allow the investigator to plot this upper bound (referred to as the “Miller bound”) in the capacity
4 space of Figures 8 and 9. This tactic permits us to provide a direct comparison between the race
5 model prediction and our data all within the same graph.

6 Grice and colleagues proposed a lower bound on performance parallel systems (e.g., Grice et al.,
7 1984) that plays a role analogous to the Miller bound, but for limited as opposed to super
8 capacity. If the Grice inequality is violated, the system is limited capacity in a very strong sense
9 (Townsend & Wenger, 2004b). In this case, performance on double-target trials is slower than on
10 those single-target trials that contain the faster of the two targets. When performance on the two
11 channels is equal, the Grice bound indicates efficiency at the level of *fixed capacity* in a parallel
12 system. A fixed capacity system can be viewed as sharing a fixed amount of capacity between
13 the two channels. Alternatively, a serial system can make exactly this prediction as well
14 (Townsend & Wenger, 2004b). This Grice boundary is also plotted on Figures 8 and 9.

15 Across both figures and panels, the results for N_0S_0 consistently demonstrate $C(t) \leq 1$, and the
16 Miller bound is rarely exceeded by any of the capacity functions in the N_0S_0 context. Further,
17 capacity tends to be at or slightly better than the Grice bound. Table 5 also shows that for all N_0S_0
18 conditions, at least two observers show statistically significant limited capacity (i.e., $C(t)$ is
19 significantly below 1).

20 Conversely, N_0S_π data illustrate $C(t) \geq 1$ over most of the RT range, and many $C(t)$ values exceed
21 the Miller bound in the N_0S_π context, for LL particularly, implicating super capacity at the level
22 where $C(t)$ is much larger than 1 for longer RTs (see Townsend & Wenger, 2004b). Only the HH
23 condition demonstrates significant limited capacity consistent across subjects. In the LL
24 conditions, all observers reveal higher workload capacity in the N_0S_π condition than in the N_0S_0
25 condition and in fact, the N_0S_π $C(t)$ s are higher than any of the other $C(t)$ data, disclosing super
26 capacity in all cases. Super capacity is statistically significant for two subjects in the N_0S_π
27 conditions, but only for LL. We believe that the other two subjects (Obs. 2 and 4) demonstrate
28 evidence leaning toward super capacity but that there are limitations due to the sample size.
29 Here, approximately 80 trials were used in each double-target condition. An examination of
30 Houpt and Townsend (2012)’s Figure 4 suggests that more trials may be needed to establish
31 significance of capacity in the 2.0 range. At a minimum, visual inspection indicates a difference
32 among capacity functions, with the LL functions being above 1 and two of the four subjects
33 demonstrating statistically significant super capacity. These two subjects also had data exceeding
34 the Miller bound for many RTs, implicating capacity values that exceed race-model predictions.

35 The High-Low and Low-High Conditions

36 We lump these two conditions together since their results are very similar, though not identical.
37 Interestingly, several observers appear to exhibit some super capacity, especially in the N_0S_π
38 conditions. By and large, N_0S_0 $C(t)$ functions fall in the moderately limited capacity range,
39 although there are spots of extremely limited capacity, for instance, Obs. 1 in both conditions,

1 Obs. 2 in HL for slower times, Obs. 3 and 4 in LH early on. Although these tend to be
2 concentrated in N_0S_0 trials, some pop up in N_0S_π data.

3
4 In sum, all our statistics confirm that performance in N_0S_0 is very poor in comparison to N_0S_π and
5 in fact is close to being as poor as ordinary serial processing would predict. N_0S_π , on the other
6 hand, regularly produces super capacity with the strongest and most consistent power in the
7 slowest combination of factors (i.e., LL).

8 9 General Discussion

10
11 Up to this point, only para-threshold, accuracy experiments have investigated the binaural release
12 from masking using pure tone detection in anti-phase. In fact, as mentioned in the introduction,
13 only a handful of experiments have even employed RT at all when comparing binaural to
14 monaural performance. This study presents analogues to the traditional accuracy statistics RTs for
15 binaural auditory perception and in particular, for the first time, to the masking release effect.

16
17 Traditionally, detection thresholds have been the psychophysical tool in this domain. More
18 generally, the psychometric functions can be analyzed from the point of view of probability
19 summation (with appropriate corrections for guessing). We suggest that the appropriate RT
20 analogue to probability summation is what is termed the standard parallel model. This model,
21 like probability summation, assumes that each channel acts the same way with one signal as it
22 does with other channels operating at the same time (this is the unlimited capacity assumption).
23 The standard parallel model also stipulates stochastic independence among the channels. It makes
24 the probability summation prediction when only accuracy is measured.

25
26 First, although our experiment factor, SNR, was effectual in properly ordering the single-target
27 survivor functions, it failed massively on the double signal trials: While HL, LH, and HH were
28 all stochastically faster than LL (their survivor functions were all greater than that for LL for all
29 times t), the former were very similar for almost all of our data and observers. The consequence
30 is that we may not legitimately attempt to uncover the operational architecture in this experiment.
31 However, the way in which selective influence fails plays a strategic role in our conclusions about
32 the binaural processing system. From here on out, we will concentrate on other issues and
33 especially that of capacity.

34
35 Next, recall that the single signal RT data are employed to assess the binaural data relative to
36 predictions from the standard parallel model. If $C(t) = 1$, then performance is identical to that
37 from the parallel model for that particular t , or range of t . If $C(t) < 1$, then limited capacity is
38 concluded. If $C(t) > 1$, performance is super capacity relative to the standard parallel
39 expectations. A somewhat more demanding upper bound is found in the Miller inequality, which
40 nevertheless must be violated if $C(t)$ exceeds 1 for intervals of the faster time responses (see
41 Townsend & Nozawa, 1995). If the lower bound put forth by Grice and colleagues is violated,
42 then capacity is very limited indeed. When performance on the two ears is equal, then the Grice
43 bound is equivalent to $C(t) = \frac{1}{2}$. On the other hand, if $C(t)$ is even a little larger than the Grice
44 bound, performance is said to show a redundancy gain. Finally, limited capacity could be

1 associated with inadequate processing (e.g., attentional) resources or interfering channel crosstalk
2 in a parallel system. If capacity is severely limited (e.g., $C(t) < \frac{1}{2}$) it might be caused by serial
3 processing, extreme resource deficits or even across-channel inhibition.

4 Interpretation of N_0S_0 results

6
7 The results indicated that capacity typically was unlimited to severely limited in N_0S_0 conditions.
8 At least two observers demonstrated limited capacity for each of the SNR combinations with all
9 observers demonstrating limited capacity for HH. Potentially, there is more evidence for limited
10 capacity in the HH conditions relative to the other conditions, though there is considerable
11 variability across individuals in the value of the $C(t)$ function and with respect to the $C(t)$
12 functions proximity to the Grice bound.

13 The only other research of which we are aware, that has applied concepts from the redundant
14 signals RT approach to binaural perception is a seminal study by Schröter, et al. (2007) and
15 extended in Schröter et al. (2009) and Fiedler et al. (2011). Schröter, et al. (2007) employed the
16 Miller (1978) inequality to assess binaural vs. monaural performance but did not assess
17 performance in terms of the standard parallel model or the Grice bound for extreme limited
18 capacity. They also did not address the antiphasic release-from-masking effect. Thus, we will be
19 able to compare our N_0S_0 results to some extent with their results but not our N_0S_{π} findings.

20
21 First, although we observed considerable individual differences in the capacity functions across
22 listeners, a common trend was that in the N_0S_0 conditions, $C(t)$ never exceeded 1. In many cases,
23 $C(t)$ was found to be significantly less than 1. In no instances was the Miller bound surmounted.
24 Many of the capacity functions are also very similar to the Grice bound and display capacity
25 values around 0.5, or fixed capacity. These results suggest that a *negligible gain* is provided by
26 the addition of a second ear. These capacity values are also consistent with previous work
27 demonstrating a very small two-ear advantage in mean reaction time (Chocolle, 1944; Simon,
28 1971; Schlittenlacher et al, 2014). Schröter et al. (2007) also demonstrated an almost complete
29 lack of redundancy gain when identical pure tones were presented to each ear. Our data take their
30 results a step further and report capacity values at two different SNRs. Although this conclusion
31 is a tempered one, it is possible that the easiest to detect stimuli (High SNRs) yield the greatest
32 degree of limited capacity.

33
34 This interpretation is closely associated with the trends present in the N_0S_0 survivor functions: the
35 dual-target HH, HL, and LH survivor functions were virtually identical, even though SNR
36 ordered the RT distributions for the single-target conditions (faster RTs for the High conditions).
37 Thus, capacity should be more limited for HH than for HL or LH. It seems likely that the
38 auditory system cannot take advantage of the addition of redundant well-defined signals, and may
39 respond most prevalently to the “loud” or better-defined stimulus in these cases. These results
40 very closely mirror those found in the threshold data, where only a negligible advantage is
41 provided when a second ear is added to tone-in-noise detection tasks.
42

1 At this point, we cannot establish whether the lack of redundancy gain is due to interactions
2 between the ears or true limitations in resource capacity. The presence of interactions in the
3 auditory binaural pathway at every level in the auditory pathway central to the cochlear nucleus,
4 indicates that interactions between the ears are prevalent. These interactions include both
5 excitatory and inhibitory pathways, and are responsible for a complex and highly successful
6 noise-reduction system. It appears, from detection and now reaction time data, the noise-
7 cancellation properties of the auditory system are not activated when the ear receive the same
8 signal and noise.

9 10 Interpretation of N_0S_π results

11
12 The N_0S_π data reflect a different pattern of results than observed in the N_0S_0 contexts. First, two
13 of the four subjects showed statistically significant levels of super capacity, with all four subjects
14 leaning in that direction. This result occurred only in the LL conditions, but capacity was still
15 higher for N_0S_π than N_0S_0 for LH and HL. The intermediate conditions (HL and LH) tended
16 toward unlimited capacity. Although one interpretation might be to treat the unlimited capacity
17 functions as support for an independent, parallel model, it seems unlikely that such a model can
18 also account for the limited capacity data observed for HH and the super capacity data observed
19 for LL. Further it is commonly accepted that the BMLD occurs due to interactions between the
20 two ears, and cross-correlation and equalization-cancellation are commonly employed tools
21 implemented into binaural models (e.g., Bernstein et al., 1999; Davidson et al., 2009).

22
23 Our data reveal something that would not have been observed by using data obtained at threshold
24 levels: an SNR-dependent effect at high accuracies. Traditionally, psychometric functions for
25 N_0S_0 and N_0S_π are treated as being parallel (e.g., Egan et al., 1969; Yasin and Henning, 2012).
26 That is, the size of the BMLD does not depend on the accuracy. The implication, then, is that
27 because the psychometric functions have the same shape and only shifted means, there are no
28 SNR-dependent processes at play, although a few studies have demonstrated that the MLD
29 decreases at very high signal sensation levels (e.g., Townsend and Goldstein, 1972; Verhey and
30 Heise, 2012). By testing the binaural system at SNRs occurring well into the tip of the
31 psychometric function (>95% accuracy), the super capacity finding in LL but not HH supports
32 the idea that the auditory noise reduction process more effectively cancels the noise at the lower
33 (but high-accuracy) SNRs than at the higher SNRs via a super capacity result.

34
35 Because it seems highly likely that our antiphase effects will appear at other SNRs than those
36 used here (i.e., ours are not 'privileged' in any way), these 'ceiling-like' SNR effects may be
37 considered as evidence for some type of gain control. That is, it appears that the auditory system
38 uses the differences in signal temporal characteristics to facilitate detection in an SNR-dependent
39 manner. These advantageous interactive mechanisms are not deployed at high SNRs but are only
40 implemented for low SNRs. Although the reaction times presented here are on the order of those
41 measured previously (e.g., Kemp, 1984), we must eventually rule out the possibility that the
42 ceiling effects in the HH conditions are not due to a lower limit on the reaction time.

43

1 Future studies will need to be conducted to establish whether the parallel psychometric functions
2 would also be observed in the RT data when using stimuli that do not yield 100% accuracy.
3 Townsend and Altieri (2012) have developed a new capacity metric $A(t)$ which takes into account
4 correct and incorrect trials. This capacity measure will be extremely valuable to determine if
5 these results generalize to SNRs more commonly used in the binaural masking literature, where
6 psychometric functions are measured between chance detection and near-perfect accuracy (Egan
7 et al., 1969; Yasin and Henning, 2012).

8
9 Finally, Schröter et al. (2007) argued that super capacity results imply that the two ears are not
10 integrated into a single percept (see also Schröter et al., 2009) and that the redundant signal effect
11 would only occur when the stimuli presented to the two ears do not fuse into a single percept. The
12 results in the N_0S_0 conditions would support this interpretation as we found severely limited
13 capacity when identical stimuli were presented to the two ears. However, the SNR-dependent
14 results in the N_0S_π conditions do not support such an interpretation in a straightforward way. It
15 seems unlikely that the two ears would be fused into a single percept for the HH, HL, and LH
16 trials but not the LL trials. If anything, one might expect the opposite, as the pure tone would be
17 perceived to “pop out” against the noise background more in the HH conditions (due to the high
18 SNR) than in the LL conditions. However, if the SNR-dependent mechanisms elicit a larger
19 perceptual distinction between the tone and noise at the lower SNRs, it remains possible that tone
20 and noise are perceptually segregated in an SNR-dependent manner. One might speculate that
21 these advantageous mechanisms are employed only when listening is more difficult – there may
22 be no need to implement them in high-SNR situations where detection is essentially trivial.

23
24 We conclude by advocating an approach that synthesizes accuracy psychophysics together with
25 response time based information processing methodology. We have demonstrated that reaction
26 time can be a useful tool for assessment of the binaural system. These results support the idea that
27 a combination of both accuracy and reaction time methods could be enhance our understanding of
28 perceptual mechanisms in many different modalities.

29 Acknowledgements

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31 Joseph Houpt for making available the software package used for statistical analysis.
32
33
34

1 Figure Captions

2

3 Figure 1. Depiction of two systems: a) serial and b) parallel.

4

5 Figure 2. Depiction of stopping rules in a serial system: a) AND, b) OR.

6

7 Figure 3. Depiction of stopping rules in a parallel system: a) AND, b) OR.

8

9 Figure 4. Graphical intuition of a system's behavior under different capacity bounds: unlimited
10 capacity, limited capacity, and supercapacity.

11

12 Figure 5. Expected processing time as a function of load-set size for different stopping rules
13 (exhaustive, self-terminating, and minimum) for (a) the standard serial modal, and (b) the parallel
14 unlimited capacity processing model.

15

16 Figure 6. Derived survivor functions for the single-target conditions at the two SNRs for the left
17 and right ears in the two contexts: N_0S_0 (left panels) and N_0S_π (right panels) for a single
18 representative subject.

19

20 Figure 7. Derived survivor functions for the dual-target conditions in the two contexts: N_0S_0 (left
21 panels) and N_0S_π (right panels).

22

23 Figure 8. Capacity functions for the two contexts are shown for HH and LL conditions.

24

25 Figure 9. Capacity functions for the two contexts are shown for LH and HL conditions.

26

27

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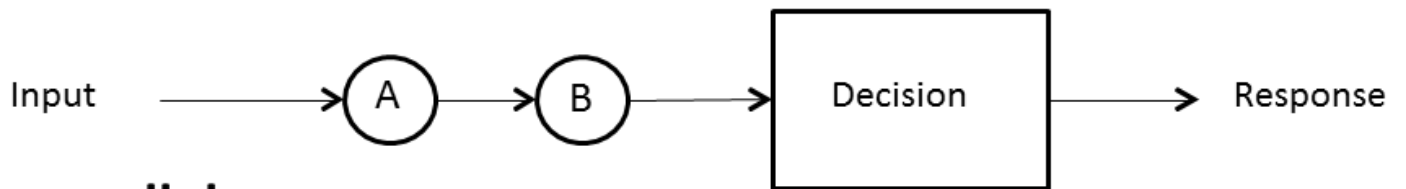
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Figure 1.TIF

a: serial



b: parallel

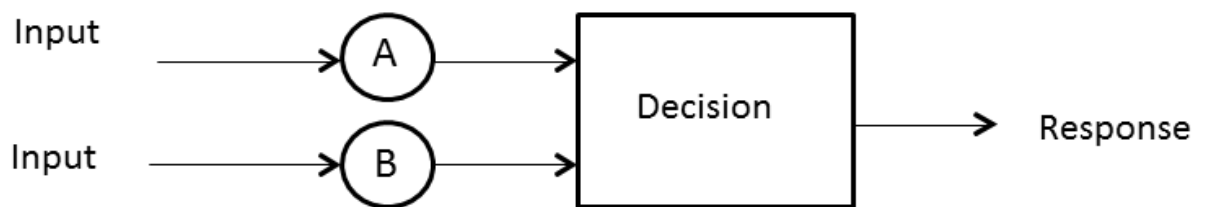
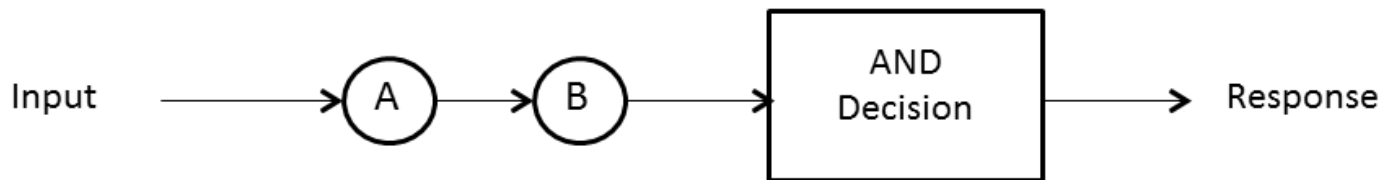


Figure 2.TIF

a: AND



b: OR

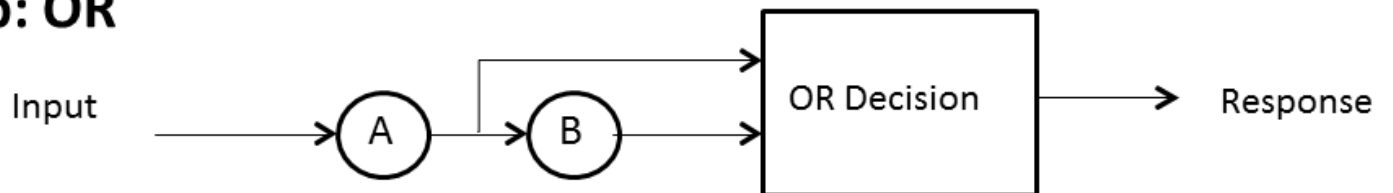
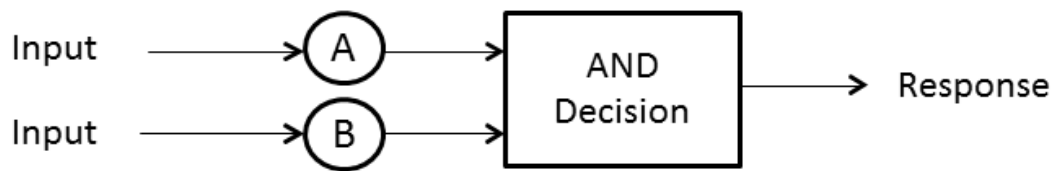


Figure 3.TIF

a: AND



b: OR

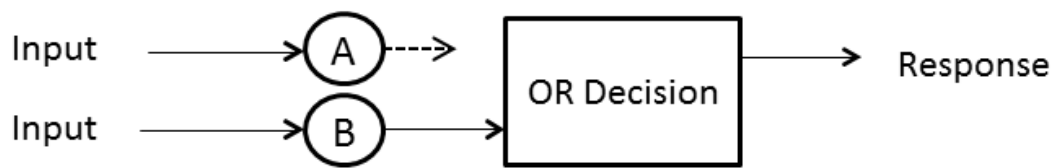


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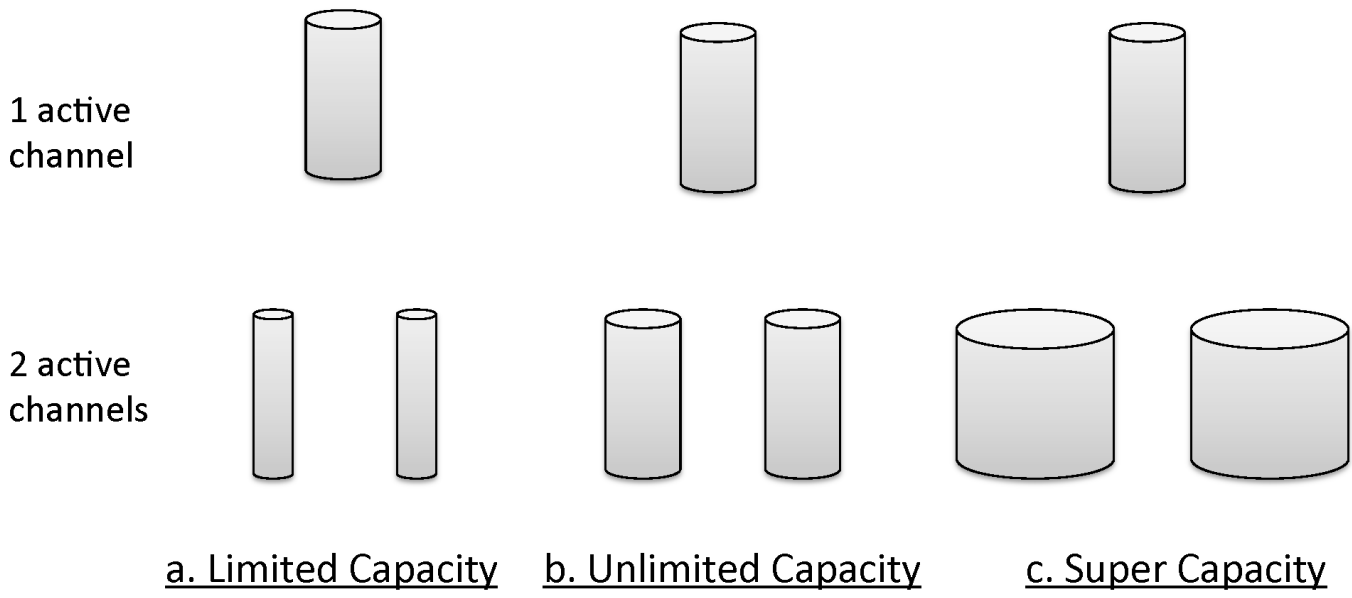


Figure 4

Figure 5.TIFF

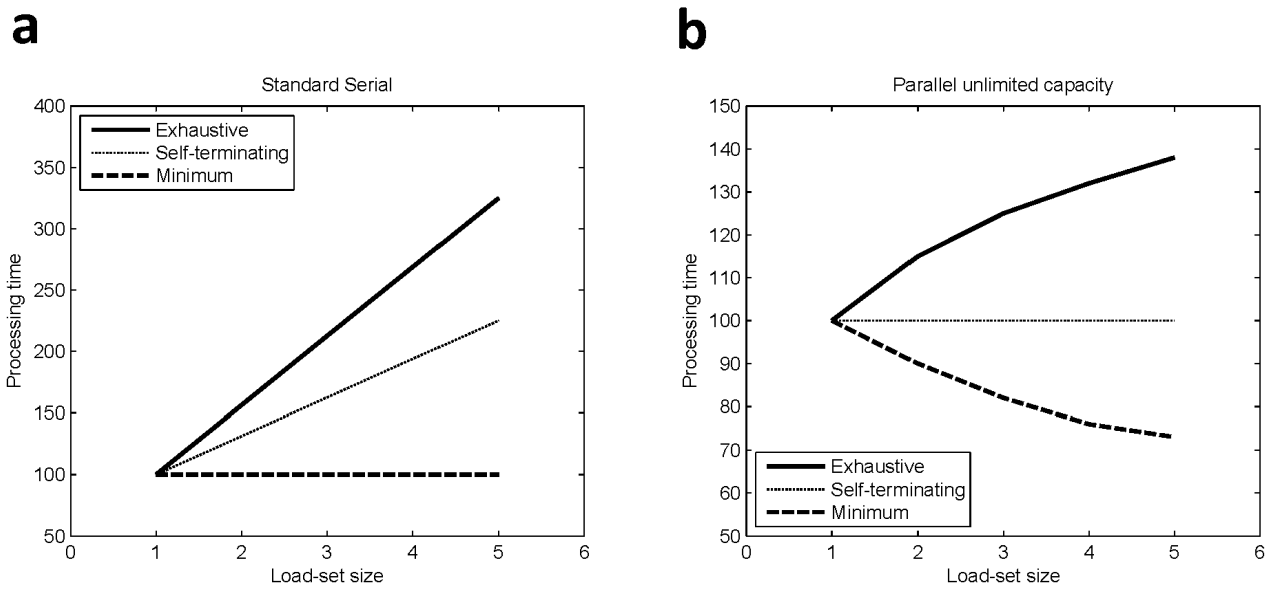


Figure 5

Figure 6.TIFF

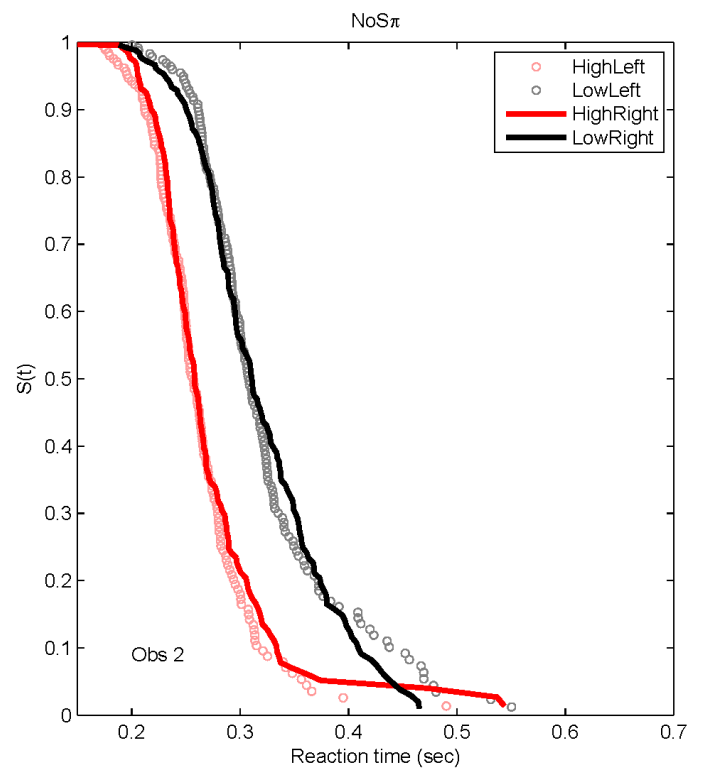
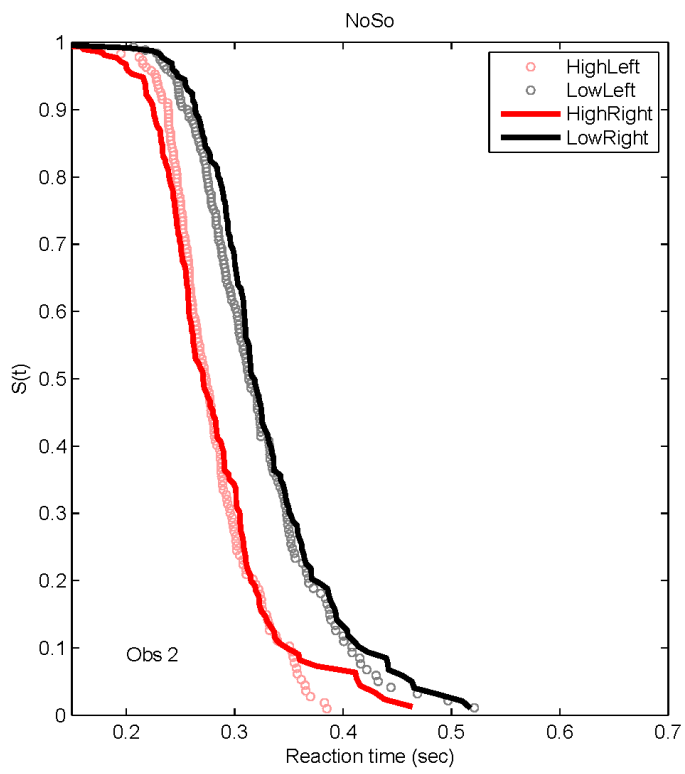


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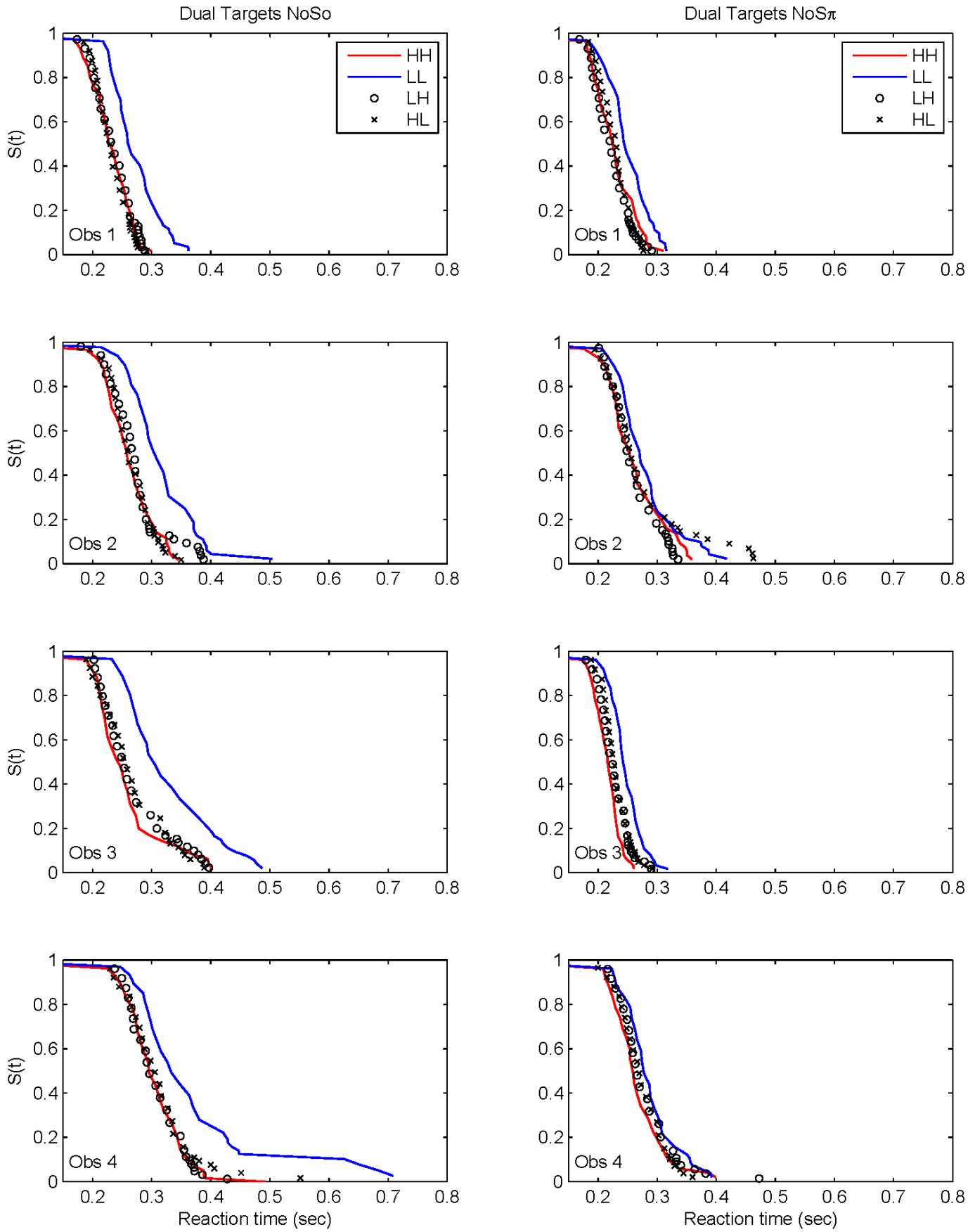


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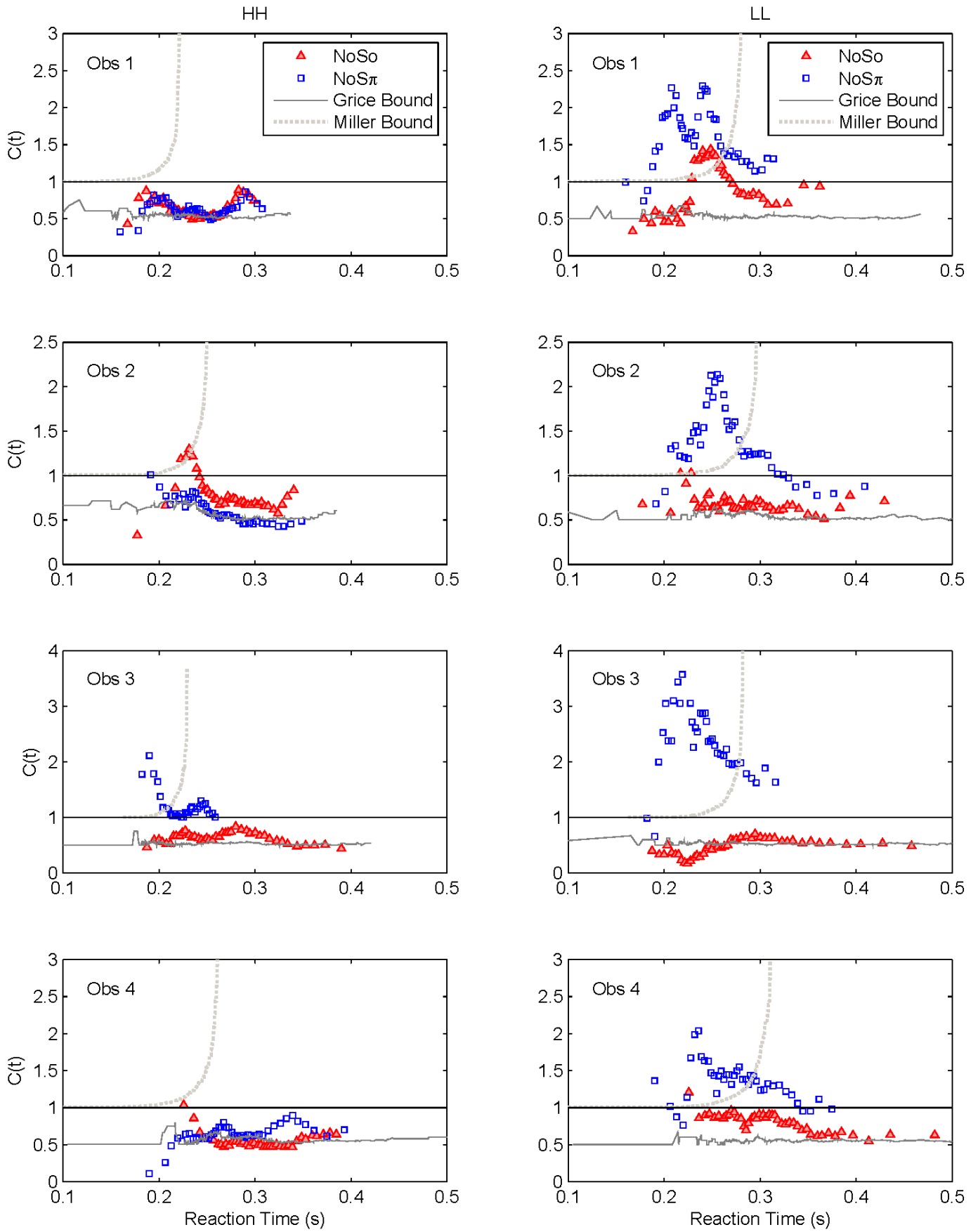


Figure 9.TIFF

